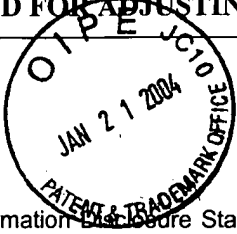
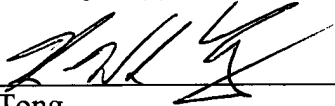
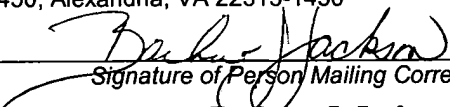
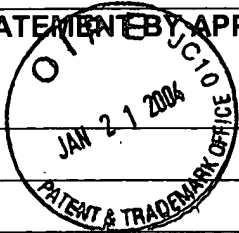


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)			Docket No. YOR920030386US1
In re Application of: Clevenger, et al.			
Serial No. 10/674,719	Filing Date September 30, 2003	Examiner	Group Art Unit 1753
Title: METHOD FOR ADJUSTING CAPACITANCE OF AN ON-CHIP CAPACITOR			
		Address to: Commissioner for Patents Alexandria, VA 22313-1450	
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.			
37 CFR 1.97(d)			
3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.			
Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)			
<input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))			
<input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))			
<input type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.			
37 CFR §1.704(d)			
4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.			
 Kin-Wah Tong Reg. No. 39,400 Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404		Dated: <u>1/13/04</u> <div style="border: 1px solid black; padding: 5px;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <p>I certify that this document is being deposited on <u>1-19-2004</u> with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450</p> <p style="text-align: center;">  Signature of Person Mailing Correspondence Barbara J. Jackson Typed or Printed Name of Person Mailing Correspondence </p> </div>	

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)					Docket No. YOR920030386US1		Serial No. 10/674,719	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant Clevenger, et al.		Confirmation No.:3989	
(Use several sheets if necessary)					Filing Date September 30, 2003		Group 1753	
Examiner								



U.S. Patent Documents							
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	4,709,225	11/24/1987	Welland et al.	340	347	
	A2	6,426,903	07/30/2002	Clevenger et al.	365	200	
	A3						
	A4						
	A5						
	A6						

Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART	
*Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.
	C1 Dutt, et al., "Integrated Circuit SNR improvement using Dielectric Altering Compound, Laser Trim and FIB system," Proc. 26th International Symposium for Testing and Failure Analysis (ISTFA2000), (ASM International, Materials Park, Ohio) pp. 323-326 (2000).
	C2 Kotecki, et al., "(Ba,Sr)TiO3 dielectrics for future stacked-capacitor DRAM," J. Res. Develop, 43 (3), May 1999
	C3 LASERtrim® Tuning Application Notes, "Active Trimming Characteristics of Lasertrim® Chip Capacitors," Johanson Technology, Inc. © 1998 Datasheet LZT983
	C4 Liu, et al., "Effective Strategy for Porous Organosilicate to Suppress Oxygen Ashing Damage," Electrochemical and Solid State Letters, 5(3), G11-G14, 2002
	C5 Machalett, et al., "Focused-ion-beam writing of electrical connections into platinum oxide films," Applied Physics Letters, 76 (23), June 5, 2000
	C6 Wang, et al., "Structural and Electrical Characteristics of Low-Dielectric Constant Porous Hydrogen Silsesquioxane for Cu Metallization," J. The Electrochemical Soc., 150 (8), F141-F146, 2003
Examiner	
Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.